

# Separating Markov's Principles

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# Markov's Principle and Equivalent Sentences

## Markov's Principle

Given a binary sequence  $(b_i)_{i:\mathbb{N}}$ , if not all of its entries are 0, then  $b_i = 1$  for some  $i$ .

This is equivalent to:

- Post's theorem: A  $\Sigma_1^0$  predicate whose complement is  $\Sigma_1^0$  is decidable.
- "A computation halts if it does not loop."
- Completeness of  $\Sigma_1^0$  theories of classical first-order logic with respect to Tarski models.

# Object Type Theory

We model MLTT with:

- $\mathbb{B}$ ,  $\mathbb{N}$ , empty and unit types;
- $\Pi$  and  $\Sigma$  types;
- A universe  $\mathbb{U}$ ;
- A truncation  $\| \cdot \|$  into propositions.

# Markov's Principles in Type Theory

Depending on our reading of decidable predicate, we state MP in the object type theory as:

$$\text{MP}_{\mathbb{U}} := \forall A : \mathbb{N} \rightarrow \mathbb{U}. (\forall n. An \vee \neg An) \rightarrow \neg\neg(\exists n. \|An\|) \rightarrow \exists n. \|An\|$$

$$\text{MP}_{\mathbb{B}} := \forall f : \mathbb{N} \rightarrow \mathbb{B}. \neg\neg(\exists n. fn = \text{true}) \rightarrow \exists n. fn = \text{true}$$

$$\text{MP}_{\text{PR}} := \forall f : \mathbb{N} \rightarrow \mathbb{B}. \text{primitive-recursive } f \rightarrow \neg\neg(\exists n. fn = \text{true}) \rightarrow \exists n. fn = \text{true}$$

# Some Implications

$$\text{MP}_{\mathbb{U}} := \forall A : \mathbb{N} \rightarrow \mathbb{U}. (\forall n. An \vee \neg An) \rightarrow \neg\neg(\exists n. \|An\|) \rightarrow \exists n. \|An\|$$

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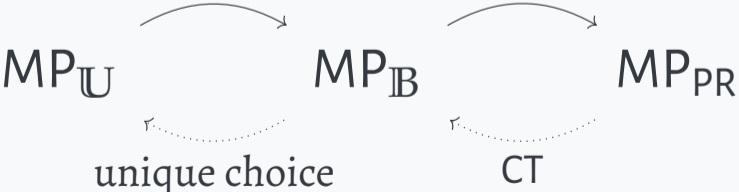


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# Realizability Models of MLTT with Choice Sequences

Use two different instantiations of  $\mathbb{T}\mathbb{T}_{\mathcal{C}}^{\square}$  to get the following models:

## First model of MLTT

Choice sequences of Booleans

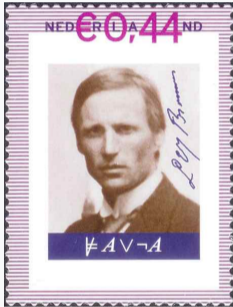
$MP_{PR}$	✓
$MP_{IB}$	✗
$MP_U$	✗

## Second model of MLTT

Choice sequences of propositions

$MP_{PR}$	✓
$MP_{IB}$	✓
$MP_U$	✗

# Brouwer's Choice Sequences



- Infinite sequences whose values are “generated” over time.
- Only have access to a prefix of the sequence at any given time.



# A Formal Reading of Choice Sequences

Fix a pre-ordered set  $(\mathbb{W}, \sqsubseteq)$ .

A (Boolean) choice sequence is a function  $f : \mathbb{N} \rightarrow \mathbb{W} \rightarrow \mathbb{B}_\perp$  such that:

- for all  $n$ ,  $f(n)$  is monotonic
- for all  $n$  and paths  $(w_i)_{i:\mathbb{N}}$  through  $\mathbb{W}$ , there exists some  $m$  such that  $f(n)(w_m) \downarrow$

# An Informal Formal Reading of Choice Sequences

Fix a pre-ordered set  $(\mathbb{W}, \sqsubseteq)$ .

A (Boolean) choice sequence is a function  $f : \mathbb{N} \rightarrow \mathbb{W} \rightarrow \mathbb{B}_\perp$  such that:

- for all  $n$ ,  $f(n)$  is monotonic
  - once an entry is generated it cannot change
- for all  $n$  and paths  $(w_i)_{i:\mathbb{N}}$  through  $\mathbb{W}$ , there exists some  $m$  such that  $f(n)(w_m) \downarrow$ 
  - every entry will eventually be generated

# Covering Relation

$\text{TT}_{\mathcal{C}}^{\square}$  can be instantiated with different covering relations, for this work we use:

## Beth Covering

An upwards-closed subset  $U \subset \mathbb{W}$  **covers** a world  $w$  if:

- for all paths  $(w_i)_{i:\mathbb{N}}$  starting at  $w$ , we have some  $n$  with  $w_n \in U$ .

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(thanks Alex for the tutorial 😊)

# First Separation Result

## First model of MLTT

Choice sequences of Booleans

$MP_{PR}$	✓
$MP_{\mathbb{B}}$	✗
$MP_{\mathbb{U}}$	✗

## First Separation: Proving $\text{MP}_{\text{PR}}$

The following rule is always derivable:

$$\frac{w \models \Gamma, n : \text{Nat} \cap \text{pure} \vdash \|Pn\|}{w \models \Gamma \vdash \forall n : \text{Nat}. \|Pn\|}$$

Primitive-recursive functions are encoded by elements of  $\text{Nat}$ , giving:

$$\text{MP in the metatheory} \implies \text{MP}_{\text{PR}} \text{ in the model}$$

# First Separation: Disproving $MP_{\mathbb{B}}$

The semantics of negation are as follows

$$w \models \neg A \iff \text{for all extensions } u \sqsupseteq w, u \not\models A$$

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so in particular we have

$$w \vDash \neg\neg A \iff \text{for all extensions } u \sqsupseteq w, \text{ there exists a further extension } v \sqsupseteq u, v \vDash A$$



## First Separation: Disproving $MP_{\mathbb{B}}$

For any world  $w$ , pick an **empty choice sequence**  $\alpha$ . We can always prove that

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requires us to show that across all paths at some point a true entry is generated. But there exists a path where only false entries are generated.

Hence we can **negate**  $MP_{\mathbb{B}}$ .

## Second Separation Result

### Second model of MLTT

Choice sequences of propositions

$MP_{PR}$	✓
$MP_{\mathbb{B}}$	✓
$MP_{\mathbb{U}}$	✗

- Choice sequences of propositions don't allow defining any more functions  $\mathbb{N} \rightarrow \mathbb{B}$   
 $\rightsquigarrow$  MP in the metatheory  $\implies$   $MP_{\mathbb{B}}$  in the model
- Similar argument as before proves negation of  $MP_{\mathbb{U}}$

# Conclusion

- Be careful how you state Markov's Principle!
- Choice sequences are great at falsifying classical principles concerning sequences.  
     $\rightsquigarrow$  same setup works for different versions of LPO, and likely for WLPO and LLPO too.
- Realizability models allow for fine control over the allowed choice sequences.  
     $\rightsquigarrow$  namely having boolean versus propositional choice sequences.

# A Truncation Into Propositions

## Homotopy Type Theorists Be Advised

We do not model the HoTT-style propositional truncation.

We are missing the typical universal mapping property

$$\prod P : \Omega, (A \rightarrow P) \simeq (\|A\| \rightarrow P)$$

Instead we validate the following

$$\prod B : \mathbb{U}, (A \rightarrow \|B\|) \simeq (\|A\| \rightarrow \|B\|)$$